



22 October 2009

PROJECT: Universal Trading Platform (UTP)

External User Acceptance (EUA) test platform available for UTP conformance testing from Friday 23 October 2009

SmartPool is pleased to announce the commencement of User Acceptance Testing via the Universal Trading Platform on Friday 23 October 2009.

As previously confirmed, SmartPool will migrate from NSC to the Universal Trading Platform on Monday 23 November 2009. Effective this date, SmartPool will only be available via the Universal Trading Platform through the new protocols UTP-Direct and FIX 4.2.

External User Acceptance (EUA) Test Platform

Members are asked to note the following points concerning the EUA test platform:

- SmartPool will be available via the External User Acceptance (EUA) UTP test platform from Friday 23 October 2009. The NSC test platform will no longer be available.
- The EUA test platform will only be accessible via UTP-Direct and FIX 4.2.
- The initial list of securities available for testing on the EUA platform will be available on the SmartPool website from Friday 23 October via the following link <http://www.tradeonsmartpool.com/DocumentLibrary/>.
- In order to facilitate user acceptance testing, the initial list of securities will be amended on the SmartPool website as soon as more securities are available.
- The SPL codes on the test securities list are unique to the EUA test platform.

Mandatory Conformance Testing

Members are requested to liaise with their clearing partners and to contact the UTP help desk to arrange a mandatory conformance test session.

Contacts

Should you have any questions regarding SmartPool's migration to the Universal Trading Platform, or any other exchange related topic, please contact the support team on:

Universal Trading Platform Support Desk

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SmartPool Account Team

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