



22 September 2009

### PROJECT: Universal Trading Platform (UTP)

#### SmartPool to migrate to the Universal Trading Platform on 23 November 2009

SmartPool is pleased to confirm that it will migrate from NSC to the Universal Trading Platform on Monday 23 November 2009.

As previously announced, the Universal Trading Platform, which will eventually underlie all NYSE Euronext's markets, encompasses execution, access, and market data distribution. Following the migration, SmartPool members will benefit from greater speed and capacity, enhanced functionality, and a single point of connectivity to all of NYSE Euronext's European cash market services.

#### Migration Timetable

The key migration dates are as follows:

- |                  |                                                                                                                                                                                                 |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 23 October 2009  | EUA Test Platform available for conformance testing.<br><br>Members are requested to liaise with their clearing partners and the UTP help desk to arrange a mandatory conformance test session. |
| 23 November 2009 | SmartPool migration to UTP – Go Live                                                                                                                                                            |

#### Service Documentation

SmartPool will be available via the Universal Trading Platform through the new protocols FIX 4.2 (v2.6) and UTP-Direct (v2.3).

The following documentation is now available online for review at:

<http://www.tradeonsmartpool.com/DocumentLibrary/>

- UTP CCG FIX 4.2 Message Specifications, v2.6, 21 September 2009
- UTP CCG Binary Message Specifications, v2.3, 17 September 2009
- UTP Error List, v0.9, 7 September 2009
- UTP Direct, Customer Guidelines, v1.0, September 2009
- UTP Customer Guidelines FIX 4.2 UTP, v0.5, September 2009
- UTP SmartPool Functional Specification

#### **N.B**

Please note that although the documentation refers to the European Cash market, the trading platform (UTP) is common for SmartPool.

## Contacts

Should you have any questions regarding SmartPool's migration to the Universal Trading Platform, or any other exchange related topic, please contact the support team on:

### Universal Trading Platform Support Desk

Tel: +33 (0)1 4927 5090

Email: [utp@nyx.com](mailto:utp@nyx.com)

### SmartPool Account Team

T +44 (0) 207 379 2535

Email: [info@tradeonsmartpool.com](mailto:info@tradeonsmartpool.com)

SmartPool Trading Limited (SmartPool) is an authorised investment firm, regulated by the Financial Services Authority (FSA), which operates a Multilateral Trading Facility (MTF). SmartPool is directing this document solely to: persons who have professional experience in matters relating to investments who fall within Article 19(1) of the FSMA 2000 (Financial Promotion) Order 2005; and, persons to whom it may otherwise lawfully be communicated (together "relevant persons"). Any investment or investment activity relating to this document should be regarded as available only to relevant persons. Any person who is not a relevant person should not act or rely on this document or any of its contents.

SmartPool Trading Limited is a company registered in England and Wales (Company Number 06635021) with its registered office at Cannon Bridge House, 1 Cousin Lane, London, EC4R 3XX.